

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 1391-34500	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor Bruce H. Storm, et al.	
		Title	Method and Apparatus for Managing the Temperature of Thermal Components
		Express Mail Label No.	EV 324573182 US
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Mail Stop Patent Application P. O. Box 1450 Arlington VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
3. <input checked="" type="checkbox"/> Specification [Total Pages 37] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 7]		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)	
5. Oath and Declaration [Total Pages ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		11. <input type="checkbox"/> English Translation Document (if applicable)	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
		13. <input type="checkbox"/> Preliminary Amendment	
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
		17. <input type="checkbox"/> Other:	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP) of prior application No.: Prior application information: Examiner Group/Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number of Bar Code Label 23505 or <input type="checkbox"/> Correspondence address below			
Name		Conley Rose, P.C.	
Address		P. O. Box 3267	
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Country		US	Telephone 713-238-8000
		Fax	713-238-8008
Name (Print/Type)		Collin A. Rose	Registration No. (Attorney/Agent) 47,036
Signature		Date June 24, 2003	

The collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA. 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

PTO  
10/602236  
06/24/03

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**FEE TRANSMITTAL**  
**For FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** \$ 2,088.00**Complete if Known**

Application Number

Filing Date

First Named Inventor

Bruce H. Storm, et al.

Examiner Name

\*

Group Art Unit

\*

Attorney Docket No.

1391-34500

**METHOD OF PAYMENT (Check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number: 03-2769

Deposit Account Name: Conley Rose, P.C.

**The Director is authorized to:** (check all that apply)☒ Charge fee(s) indicated below☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account☒ Credit any overpayments**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee	Fee	Code (\$)	Code (\$)	Fee Description	Fee Paid
1001	2001	750	375			Utility filing fee	\$750.00
1002	2002	330	165			Design filing fee	\$
1003	2003	520	260			Plant filing fee	\$
1004	2004	750	375			Reissue filing fee	\$
1005	2005	160	80			Provisional filing fee	\$

**SUBTOTAL (1) \$750.00****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	85	20	=	65	x	Extra Claims below	Fee from below	Fee Paid
Independent Claims	5	3	=	2	x		18.00	= \$168
Multiple Dependent Claims							280.00	= \$

Large Entity	Small Entity	Fee	Fee	Code (\$)	Code (\$)	Fee Description	Fee Paid
1202	2202	18	9			Claims in excess of 20	
1201	2201	84	42			Independent Claims in excess of 3	
1203	2203	280	140			Multiple dependent claim, if not paid	
1204	2204	84	42			** Reissue independent claims over original patent	
1205	2205	18	9			** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) \$1,338.00**

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee	Fee	Code (\$)	Code (\$)	Fee Description	Fee Paid
1051	2051	130	65			Surcharge - late filing fee or oath	\$
1052	2052	50	25			Surcharge - late provisional filing fee or cover sheet	\$
1053	2053	130	65			Non-English specification	\$
1812	2520	1812	2,520			For filing a request for <i>ex parte</i> reexamination	\$
18042	920*	1804	920*			Requesting publication of SIR prior to Examiner action	\$
1805	1,840*	1805	1,840*			Requesting publication of SIR after Examiner action	\$
1251	2251	110	55			Extension for reply within first month	\$
1252	2252	410	205			Extension for reply within second month	\$
1253	2253	930	465			Extension for reply within third month	\$
1254	2254	1,450	725			Extension for reply within fourth month	\$
1255	2255	1,970	985			Extension for reply within fifth month	\$
1401	2401	320	160			Notice of Appeal	\$
1402	2402	320	160			Filing a brief in support of an appeal	\$
1403	2403	280	140			Request for oral hearing	\$
1451	1452	1,510	1,510			Petition to institute a public use proceeding	\$
1452	2452	110	55			Petition to revive - unavoidable	\$
1453	2453	1,300	650			Petition to revive - unintentional	\$
1501	2501	1,300	650			Utility issue fee (or reissue)	\$
1502	2502	470	235			Design issue fee	\$
1503	2503	630	315			Plant issue fee	\$
1460	1460	130	130			Petitions to the Commissioner	\$
1807	1806	50	50			Processing fee under 37 CFR 1.17(g)	\$
123	123	50	50			Petitions related to provisional applications	\$
1806	1806	180	180			Submission of Information Disclosure Stmt	\$
8021	8021	40	40			Recording each patent assignment per property (times number of properties)	\$
1809	2809	750	375			Filing a submission after final rejection (37 CFR § 1.129(a))	\$
1810	2810	750	375			For each additional invention to be examined (37 CFR § 1.129(b))	\$
1801	2801	750	375			Request for Continued Examination (RCE)	\$
1802	2802	900	900			Request for expedited examination of a design application	\$

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) \$0.00****SUBMITTED BY****Complete (if applicable)**

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Signature

Collin A. Rose

Date

June 24, 2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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 Sale Ref: 00000016 DAY: 032769 19602236  
 01 FC:1001 750.00 DA  
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